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PTO/SB/21(10/96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Andrews Andrews	Application Number	09/475,487
	Filing Date	December 30, 1999
TRANSMITTAL FORM	First Named Inventor	Azar Assadi
(to be used for all correspondence after initial filing)	Grøup Art Unit	2878
	Examiner Name	
Total Number of Pages in This Submission 7	Attorney Docket Number	042390.P6880

			ENCLOSURES (check all that	арр	ly)	
Fee Transi	mittal Form	\boxtimes	Assignment Papers (for an Application)		After Allowance Communication to Group	
∑ Fee	Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response			Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After	r Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information	
Extension	of Time Request		To Convert a Provisional Application		Status Letter	
Express A	bandonment Request		Power of Attorney, Revocation Change of Correspondence Address	×	Additional Enclosure(s) (please identify below):	
Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Rer	Terminal Disclaimer Small Entity Statement Request for Refund marks		Postcard	
l					· ·	
	SIGNATUR	E OF	APPLICANT, ATTORNEY, OR A	GEN	Τ	
Firm or	Farzad E. Ami	ini, Reg. 42,261				
		OKOLOFF, TAYLOR & ZAFMAN				
Signature	Menasta	Ami'i				
Date March 24, 200						

CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelo addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 24, 2000						
Typed or printed name	Jean Svoboda					
Signature	Han Sile	Date	03/24/00			

Burden Hour Statement: Tris form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Complete If Known				
Application Number	09/475,487			
Filing Date	12/30/99			
First Named Inventor	Azar Assadi			
Examiner Name	*			
Group Art Unit	2878			
Attorney Docket Number	042390.P6880			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						
— indicated took and droam any over payments to	Large	Entity	Small	Entity		
Deposit Account 02-2666	Fee	Fee		Fee	Fee Description	Fee Paid
Account 02-2666	Code		Code		Cumbaras late films for an oath	100
Deposit	105 127		205 227		Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	130
Account Blakely, Sokoloff, Taylor & Zafman LLP	127	30	LLI	25	cover sheet.	
Name	139	130	139	130	Non-English specification	
Charge Any Additional Charge the Issue Fee Set in 37 Fee Required Under 37 CFR 1.18 at the Mailing of the	147	2,520	147		For filing a request for reexamination	
CFR 1.16 and 1.17 Notice of Allowance, 37 CFR 1.311(b)	112	920	112	920	Requesting publication of SIR prior to	
2. X Payment Enclosed:					Examiner action	
— Money —	113	1,840	113	1,840	Requesting publication of SIR after	
Check Order Other					Examiner action	
FEE CALCULATION (fees effective 10/01/96)	115		215		Extension for response within first month	
PEE CALCOLATION (lees elective 10/01/30)	116		216		Extension for response within second month	
1. FILING FEE	117		217		Extension for response within third month	
Large Entity Small Entity		1,360			Extension for response within fourth month	
Fee Fee Fee Fee Description Fee Paid		1,850			Extension for response within fifth month	\vdash
Code (\$) Code (\$)	119		219 220		Notice of Appeal	\vdash
101 690 201 345 Utility filing fee	120 121		221		Filing a brief in support of an appeal Request for oral hearing	
106 310 206 155 Design filing fee	138				Petition to institute a public use proceeding	
107 480 207 240 Plant filing fee	140	•	240		Petition to revive - unavoidably	
108 690 208 345 Reissue filing fee	141				Petition to revive - unintentionally	
114 150 214 75 Provisional filing fee	ľ	1,210			Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)	143		243		Design issue fee	
	144	580	244		Plant issue fee	
2. CLAIMS Fee from Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims - = X below =	123	50	123	50	Petitions related to provisional applications	
Independent - = X = =	126	240	126	240	Submission of Information Disclosure Stmt	
	581	40	581	40	Recording each patent assignment per	
Multiple Dependent Claims Large Entity Small Entity					property (times number of properties)	40
Fee Fee Fee Fee Description	146	760	246	380	Filing a submission after final rejection	
Code (\$) Code (\$)	140	760	240	200	(37 CFR 1.129(a))	\vdash
103 18 203 9 Claims in excess of 20	149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
102 78 202 39 Independent claims in excess of 3	Othe	r fee (s	specif	v)		
104 270 204 135 Multiple Dependent claim		r fee (s			•	
109 78 209 39 Reissue independent claims		(,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	"—	· · · · · · · · · · · · · · · · · · ·	
over original patent 110 18 210 9 Reissue claims in excess of 20						
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SUBTOTAL (2) (\$)					SUBTOTAL (3) (\$)	170.00
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CURMITTED BY					Complete (if appli	na la la l

SUBMITTED B	Y			Complete (if	applicable)
Typed or Printed Name	Farzad E. Amini, Reg. 42,261		_	Reg. Number	
Signature	Popular Ami	Date	3/24/2000	Deposit Account User ID	02-2666

Attorney Docket No.: 042390.P6880

IN THE UNITED STA ENT AND TRADEMARK OFFICE

In re the Application of:

AZAR ASSADI

Application No.: 09/475,487

Filed:

December 30, 1999

For:

COLOR IMAGE SENSOR WITH INTEGRATED

BINARY OPTICAL ELEMENTS

Assistant Commissioner for Patents Attention: Box Missing Parts

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed February 17, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
 - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
 - (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Farzad E. Amini, Reg. 42,261

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231

March 24, 2000

03/24/00

Date

Dated: March 24, 2000

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (310) 207-3800





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNÉY DOCKÉT NO/TITLE

09/475,487

Customer Service Center

ASSADI

042390.P6880

0212/021

TAYLOR & ZAFMAN LLP BLAKELY SOKOL 12400 WILSHIRE BOULEVARD SEVENTE FLOOR

LOS ANGELEX CA 90825

BLAKELY, SOKOLOFF, TAYLOR & ZAFMANS 22 2000 LOS ANGELES

DATE MAILED:

STATUS DB-LA

02/17/00

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filling a petition accompanied by the extension fee under the provisions of '37 CFR 1: 136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00

		s NOTICE to avoid abandonment.	теріу
,	if all i □sm	required items on this form are filed within the period set above, the total amount owed by applicant as a all entity (statement filed) ⊠non-small entity is \$	
	□ 1.	The statutory basic filing fee is: missing. insufficient.	
		Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). The following additional claims fees are due:	IVED
		\$total claims over 20.	2000
		forindependent claims over 3.	000
		for multiple dependent claim surcharge. Applicant must either submit the additional claim fees or cancel additional claims for which fees are que. 72	0.00000
	5X 3.	The oath or declaration: is missing or unsigned. does not cover the newly submitted items. An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the applicate	than Å
	4.	the above Application Number and Filing Date is required. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47: A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.	•
	□ 5.	The signature of the following joint inventor(s) is missing from the oath or declaration:	14/2000
		An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.	
	□ 7. □ 8.	A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)). Your filing receipt was mailed in error because your check was returned without payment. The application was filed in a language other than English. Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)). OTHER:	00000007 09475487 130.00 0P
		t the reply and any questions about this notice to "Attention: Box Missing Parts."	90.: 1
1		A copy of this notice <u>MUST</u> be returned with the reply.	MARKOL 00